

# Recent Breakthroughs in Natural Language Processing

Stanford

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BAAI 2019



“the common misconception [is] that language use has primarily to do with words and what they mean. It doesn't. It has primarily to do with people and what *they* mean.”

*Asking questions and influencing answers*

Clark & Schober, 1992





B

11

By 2020, 40% of users will be interacting with primarily new applications that support conversational UIs with Artificial Intelligence

Source: Gartner's Competitive Landscape 2018

# “Smart speaker” virtual assistants



## US Households Own a Smart Speaker

32%



2019

55%



2022

# Speech recognition is now 3 times as fast as texting!

(Ruan, Landay, and Ng 2016)

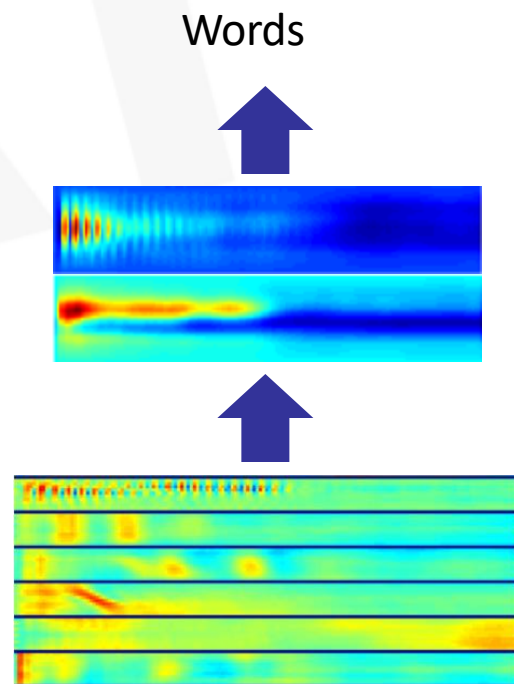


YouTube

# Deep Learning for Speech Recognition

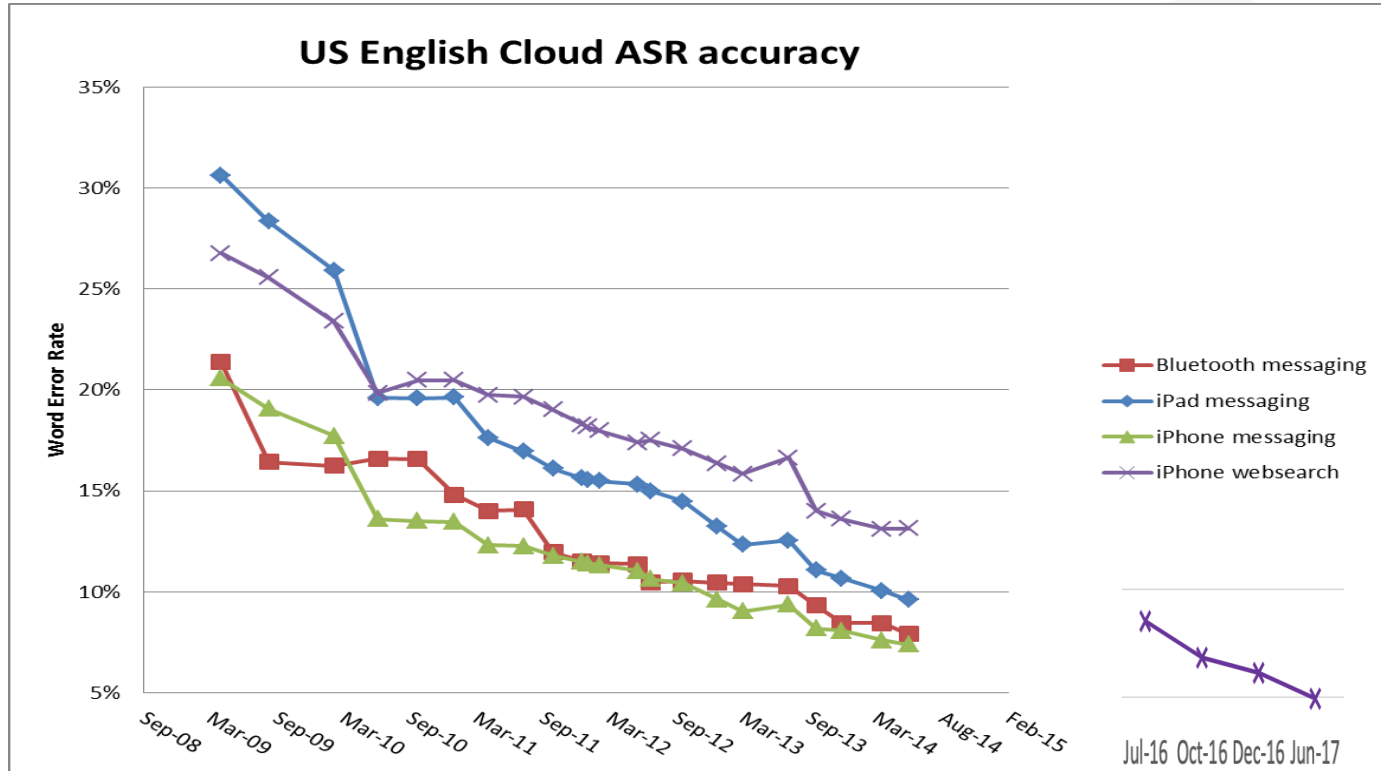
- The first breakthrough results of “deep learning” on large datasets happened in **speech recognition**
- George Dahl et al. (2010/2012): Context-Dependent Pre-trained Deep Neural Networks for Large Vocabulary Speech Recognition

Acoustic model \ WER	RT03S FSH	Hub5 SWB
Traditional GMM (D. et al. 2012)	27.4	23.6
Deep Learning (Dahl et al. 2012)	18.5 (-33%)	16.1 (-32%)
Deep Learning (Saon et al. 2017)	8.0 (-71%)	5.5 (-77%)





# ASR accuracy improvements



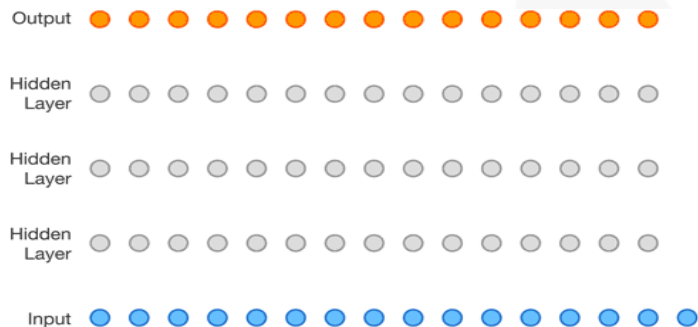
# Deep Learning for Generation of Speech

## WaveNet: A deep generative model of raw audio

DeepMind (van den Oord et al. 2016) <https://arxiv.org/abs/1609.03499>

Quality: Mean Opinion Scores

US English



Old (CMU c.2000)



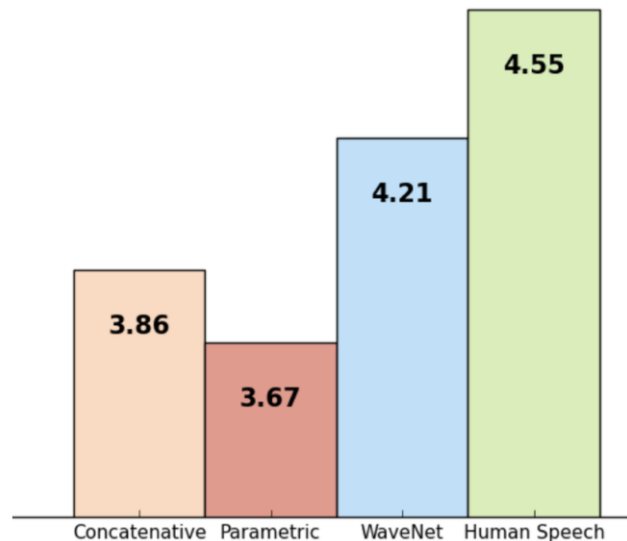
Concatenative



Parametric

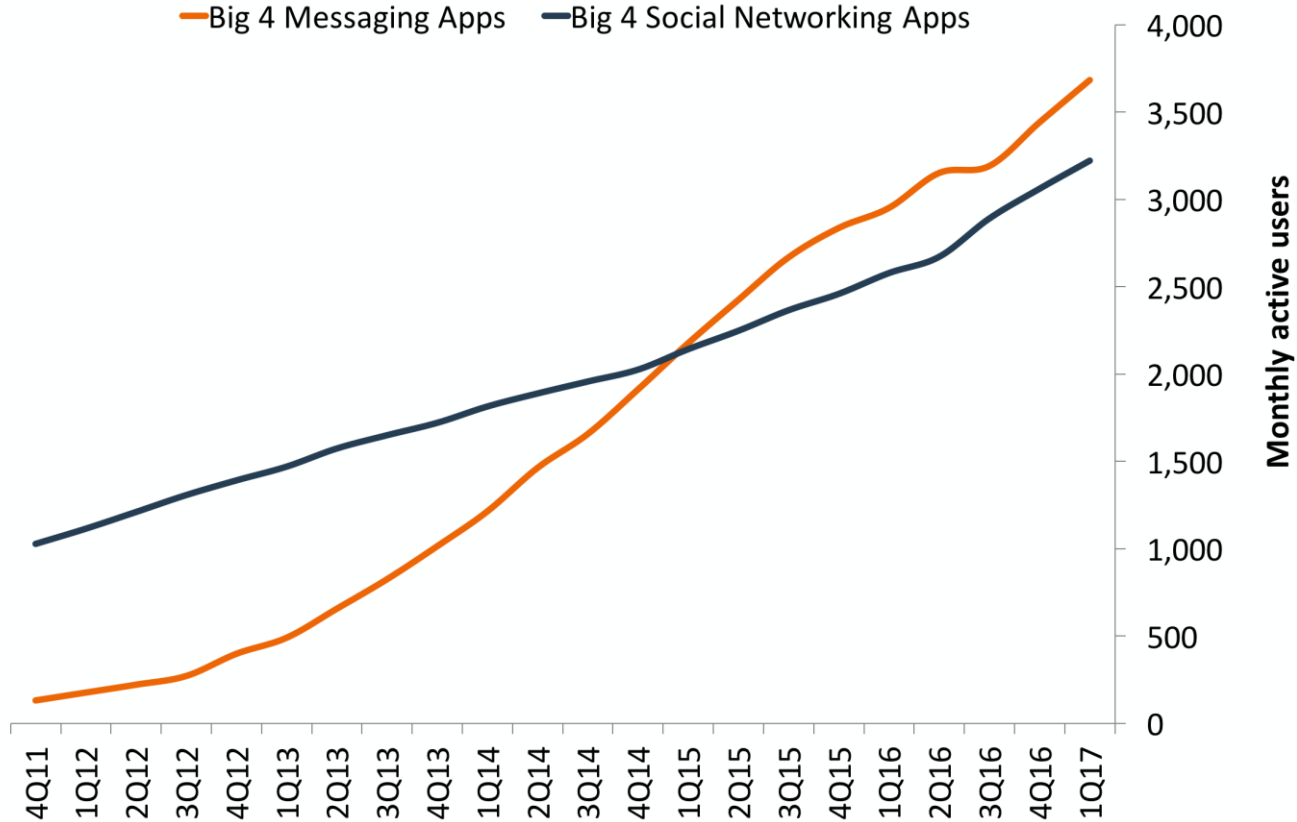


WaveNet



# Messaging Apps Have Surpassed Social Networks

Global monthly active users for the top 4 messaging apps and social networks,  
In millions



Note: Big 4 messaging apps are WhatsApp, Messenger, WeChat, Viber.

Big 4 social networks are Facebook, Instagram, Twitter, LinkedIn

Source: Companies, Apptopia, TechCrunch, BI Intelligence estimates, 2017

6:29



Hey Siri what was the final score in the women's World Cup today

Tap to Edit >

**Netherlands won a close game in extra time against Sweden in the semifinals today; the final score was 1 to 0.**

SPORTS

	1 - 0	
Netherla...	Final - Today FIFA Women's World Cup	Sweden
Netherlands		99' J Groenen
Sweden		



4:09



Hey Siri how do I see my subscriptions on the iTunes Store

Tap to Edit >

**I found this on the web for 'how do I see my subscriptions on the iTunes Store':**

WEBSITES

**View, change, or cancel your subscriptions - Apple Support**  
2 days ago ... Manage your subscriptions in Settings on your iOS device, in iTunes...  
[support.apple.com](https://support.apple.com)

---

**Manage your Apple Music subscription - Apple Support**  
Mar 26, 2019 ... You can cancel or Apple Music or change your subscription on yo...  
[support.apple.com](https://support.apple.com)

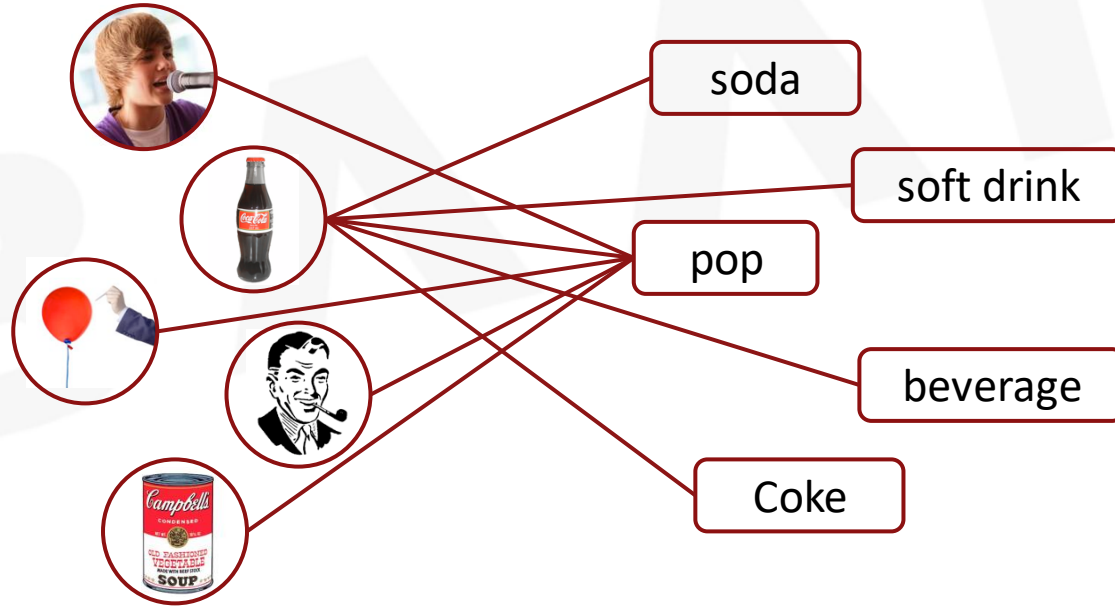


# Why is human language hard to understand?

Human languages:

- Are highly ambiguous at all levels
- Are fuzzy and vague
- Require reasoning about the world to understand
- Exploit context to convey meaning
- Use features like recursive structures and coreference
- Are part of a social system of persuading, insulting, amusing, ...

# Meaning and reference





# “(Artificial) neural (network)” or “deep learning” models for word meaning

We represented a word as a vector of numbers

versatile =  $\begin{pmatrix} 0.286 \\ 0.792 \\ -0.177 \\ -0.107 \\ 0.109 \\ -0.542 \\ 0.349 \\ 0.271 \end{pmatrix}$

Similar vectors = similar meaning



# Learn vectors via distributional similarity



“You shall know a word by the company it keeps” (J. R. Firth 1957: 11)

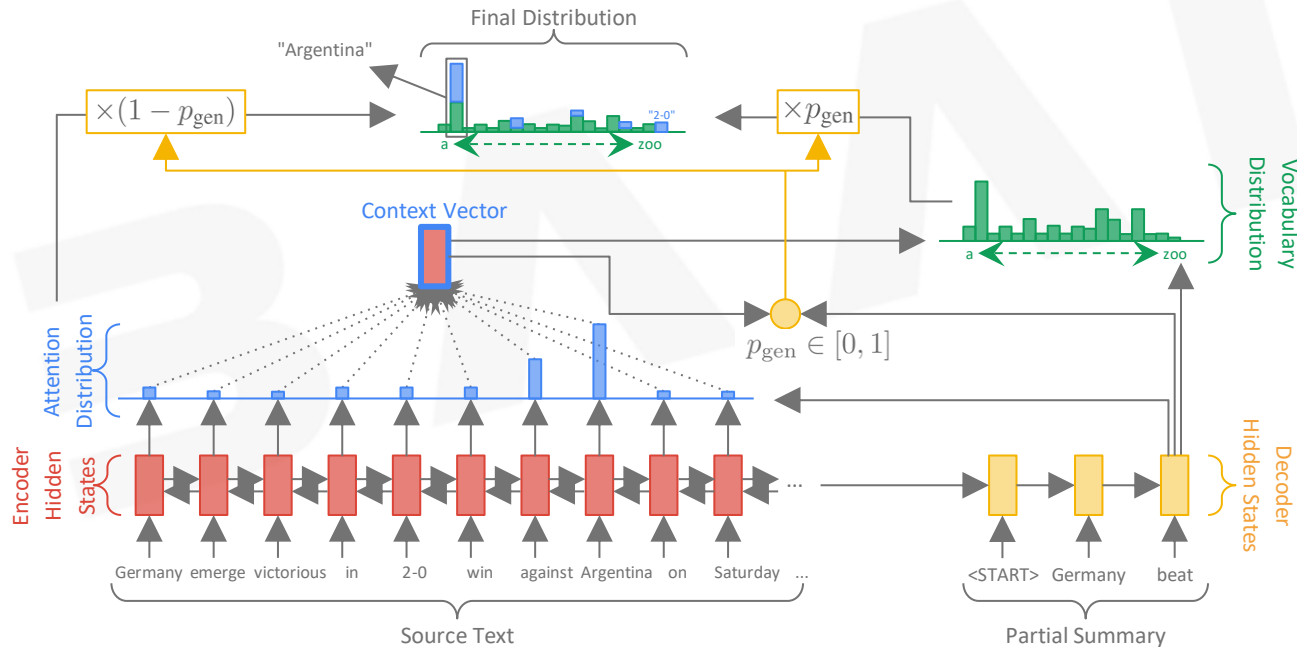
Defining similarity via contextual distributions in texts is one of the most successful ideas of modern computational linguistics

any devices with a web browser, from laptops and tablets to smart phones  
Users can download it for home computers or laptops from Microsoft Update website

↖ These words will represent *laptops* ↗



# Vectors go into (complex!) neural net systems



Neural pointer-generator network for text summarization

See, Liu, and Manning 2018

# Natural language is key to many applications

“The role of FAIR (Facebook AI Research) is to advance the science and the technology of AI and do experiments that demonstrate that technology for new applications like computer vision, dialogue systems, virtual assistants, speech recognition, natural language understanding, translation, things like that.”



Yann LeCun

<http://www.businessinsider.com/interview-yann-lecun-facebook-ai-deepmind-2016-10>

# Commercial uses of NLP

## Tasks

- Market Intelligence / Competitive advantage
- Understanding customer experience
- Brand perception
- Hiring (advertising; filtering resumes)
- Customer service/support
- Sales / Managing the sales funnel
- Finance: Trading on information
- E-commerce

## Technologies

- Information (fact) extraction
- Sentiment analysis
- Semantic Search
- Question Answering
- Chatbots
- Neural Machine Translation
- Opinion mining

# Sentiment analysis

Is someone expressing positive, negative, or neutral views?

Sometimes easy looking at a “bag of words”

... loved ... .. great ... .. impressed ... .. entertaining ...

But often it's more subtle



With this cast, and this subject matter, the movie should have been funnier and more entertaining.





March 18, 2011 4:00 p.m.

## Mentions of the Name 'Anne Hathaway' May Drive Berkshire Hathaway Stock

By Patrick Huguenin

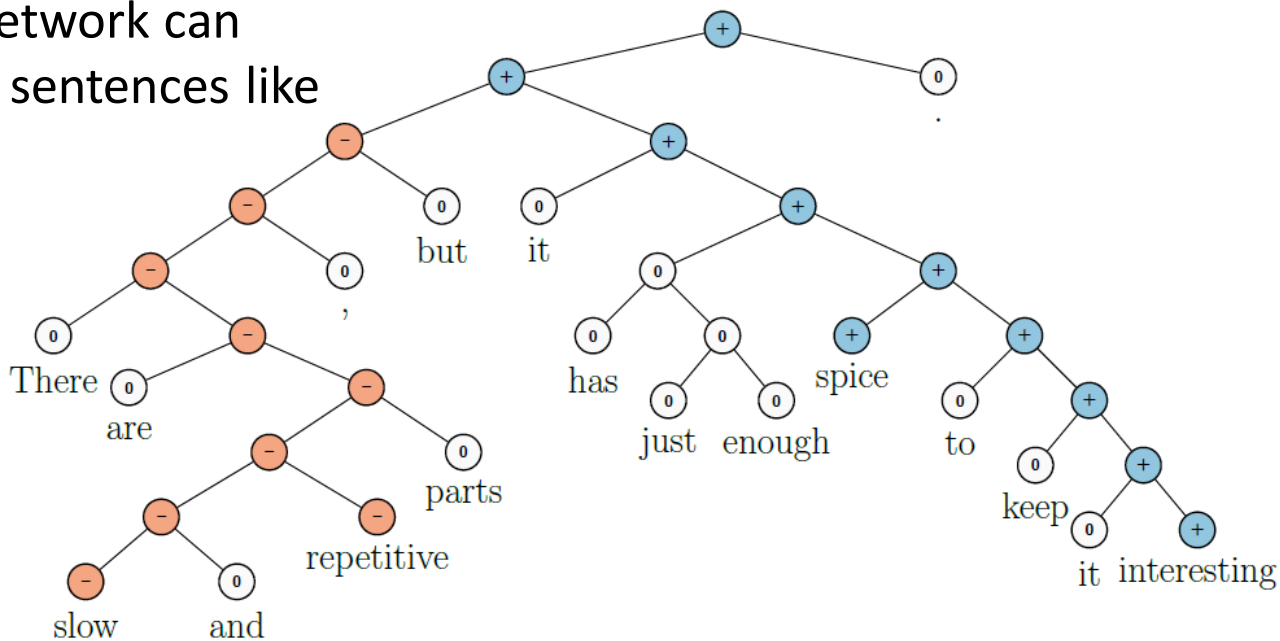
The Huffington Post recently [pointed out](#) that whenever Anne Hathaway is in the news, the stock price for Warren Buffett's Berkshire Hathaway goes up. Really. When *Bride Wars* opened, the stock rose 2.61 percent.

# Tree-structured Neural Sentiment Analysis

[Tai, Socher & Manning 2015]

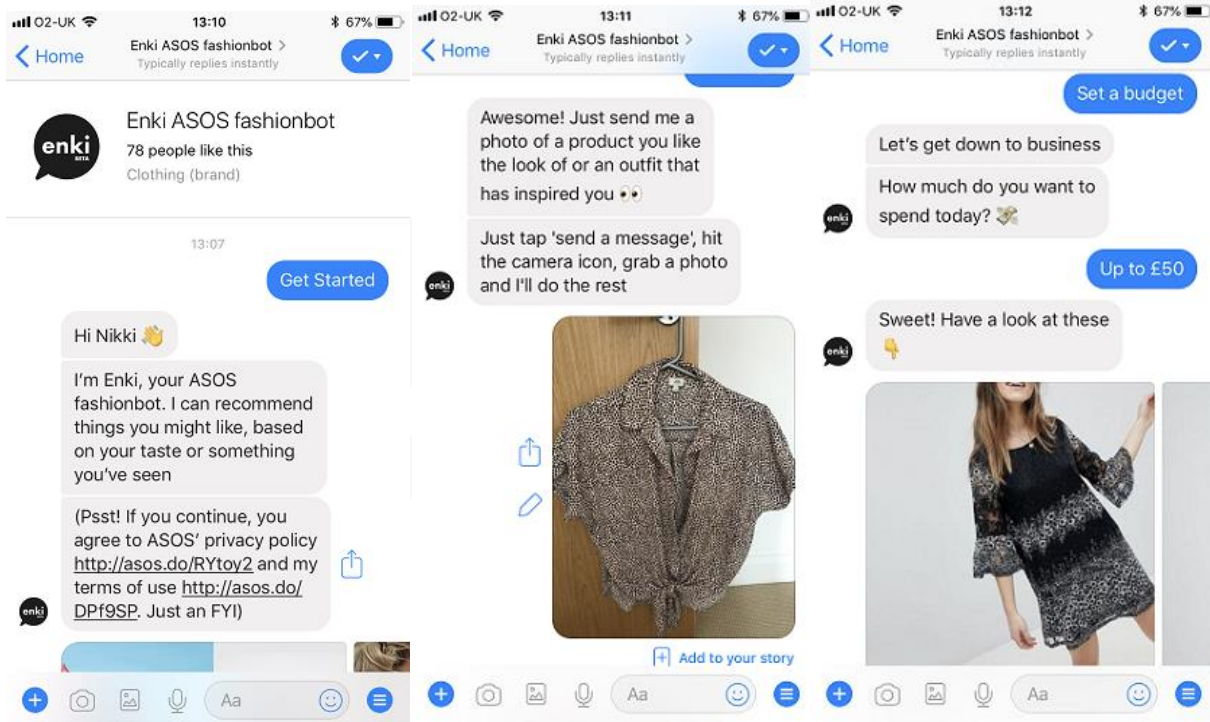
A tree-structured network can capture contrastive sentences like

X but Y





# Fashion retailer ASOS's chatbot Enki

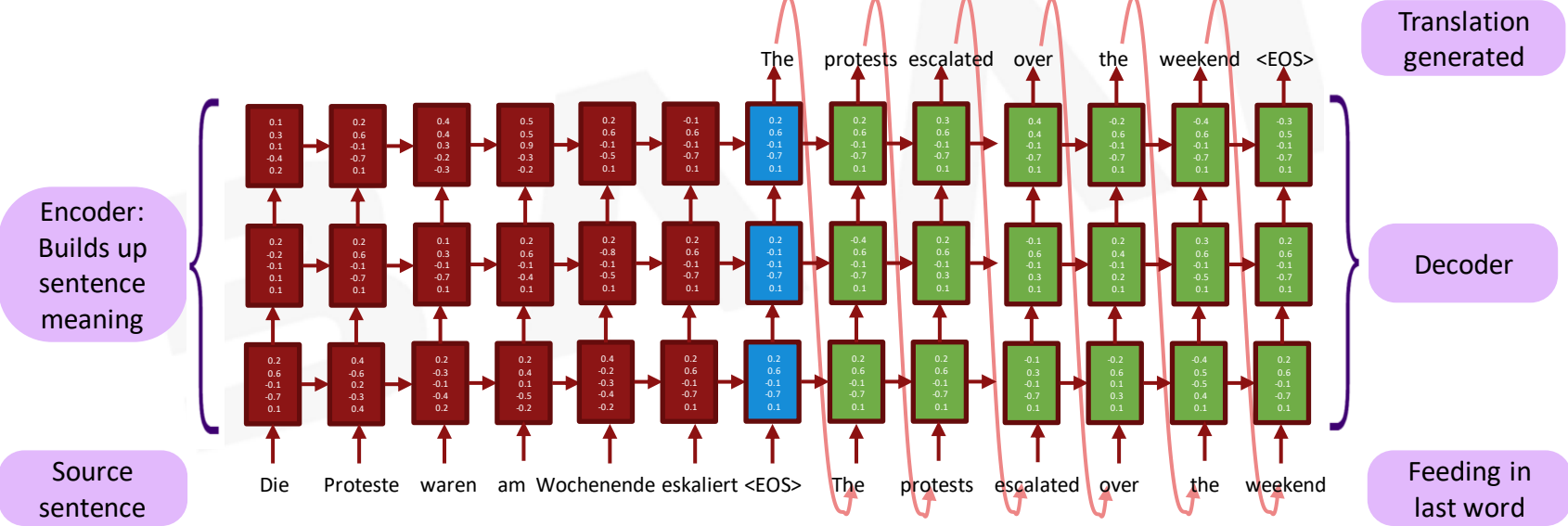


- 35% more people reached
- 300% increase in orders
- 250% increase in return on ad spend
  - Vs. previous pretty underwhelming “gift assistant”

# Fashion retailer ASOS's chatbot Enki



# Neural network for machine translation



# Enormously fast and successful transition of Neural Machine Translation to commercial use!

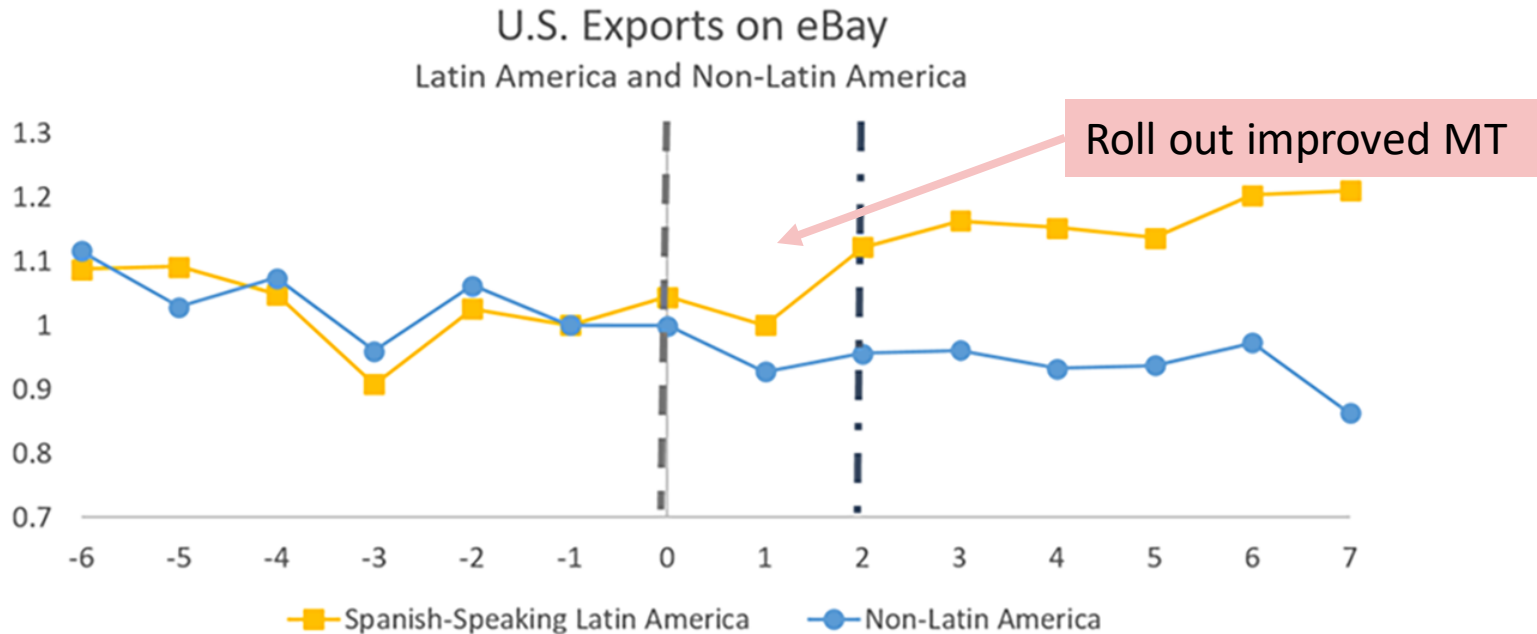
**2014:** First modern research attempts on neural MT

At Google, U. Montréal, and Stanford

**2017+:** Almost everyone is using Neural MT in production, for many/all language pairs



# Better translation boosted eBay sales more than 10%



Erik Brynjolfsson et al. MIT 2018.

<https://www.theverge.com/2019/5/15/18624459/ai-translation-boosted-ebay-sales-more-than-10-percent>

Phrase-based  
Statistical  
MT



Necip Fazil Ayan

1 hr •

Onların, İzmir'in neden hayır dediğini anlamalarını beklemiyoruz.

Their, Izmir's why you said no we don't expect them to understand.

• Rate this translation



Necip Fazil Ayan

1 hr •

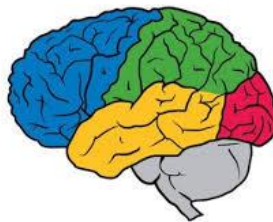
Onların, İzmir'in neden hayır dediğini anlamalarını beklemiyoruz.

We don't expect them to understand why Izmir said no.

• Rate this translation

Neural  
Machine  
Translation

# **ELECTRA: Efficiently Pre-training Text Encoders as Discriminators rather than Generators**



Kevin Clark

Thang Luong, Quoc Le, Chris Manning

# NLP: 2018 breakthrough with big language models

All of these models are Transformer models

ULMfit  
Jan 2018  
Training:  
103M words  
1 GPU day

GPT  
June 2018  
Training  
800M words  
240 GPU days

BERT  
Oct 2018  
Training  
3.3B words  
256 TPU days  
~320–560  
GPU days

GPT-2  
Feb 2019  
Training  
40B words  
~2048 TPU v3 days  
according to [a reddit thread](#)

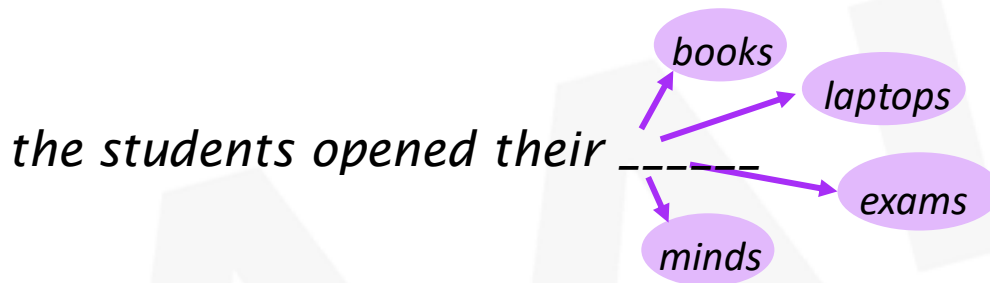
XL-Net,  
ERNIE,  
Grover  
RoBERTa, ...  
July 2019





# Language Modeling

A **Language Model (LM)** predicts a word in a context



For a word sequence  $x^{(1)}, x^{(2)}, \dots, x^{(t)}$ , it gives the probability of the next word  $x^{(t+1)}$ :

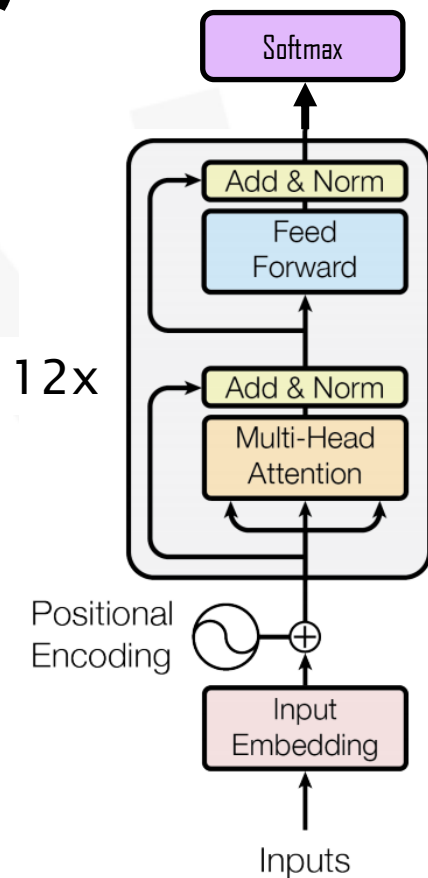
$$P(x^{(t+1)} | x^{(t)}, \dots, x^{(1)})$$

An LM is a key part of decoding tasks like **speech recognition, spelling correction**, and any NL generation task, including **machine translation, summarization**, and **story generation**

# Transformer (Vaswani et al. 2017)

<https://arxiv.org/pdf/1706.03762.pdf>

- **Non-recurrent** sequence model (or sequence-to-sequence model)
- A **deep** model with a sequence of **attention-based** transformer blocks
- Depth allows a certain amount of lateral information transfer in understanding sentences, in slightly unclear ways
- Final cost/error function is standard cross-entropy error on top of a softmax classifier



# GPT-2 language model (cherry-picked) output

SYSTEM PROMPT  
(HUMAN-WRITTEN)

MODEL COMPLETION  
(MACHINE-WRITTEN,  
10 TRIES)

*A train carriage containing controlled nuclear materials was stolen in Cincinnati today. Its whereabouts are unknown.*

The incident occurred on the downtown train line, which runs from Covington and Ashland stations.

In an email to Ohio news outlets, the U.S. Department of Energy said it is working with the Federal Railroad Administration to find the thief.

“The theft of this nuclear material will have significant negative consequences on public and environmental health, our workforce and the economy of our nation,” said Tom Hicks, the U.S. Energy Secretary, in a statement. “Our top priority is to secure the theft and ensure it doesn’t happen again.”

The stolen material was taken from the University of Cincinnati’s Research Triangle Park nuclear research site, according to a news release from Department officials.

# METRO

NEWS... BUT NOT AS YOU KNOW IT

NEWS

SPORT

ENTERTAINMENT

SOAPS

MORE ≡

TRENDING



UK

WORLD

WEIRD

TECH

## Elon Musk's OpenAI builds artificial intelligence so powerful it must be kept locked up for the good of humanity

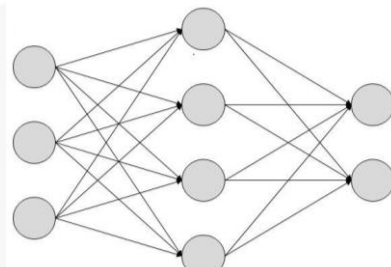


**Jasper Hamill** Friday 15 Feb 2019 10:06 am

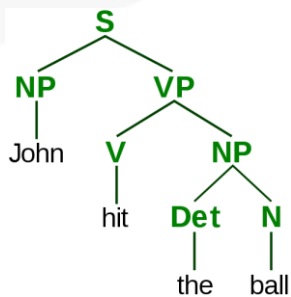
# Pre-training / Fine-tuning



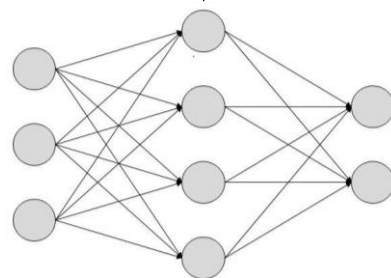
unsupervised  
pre-training



initial weights



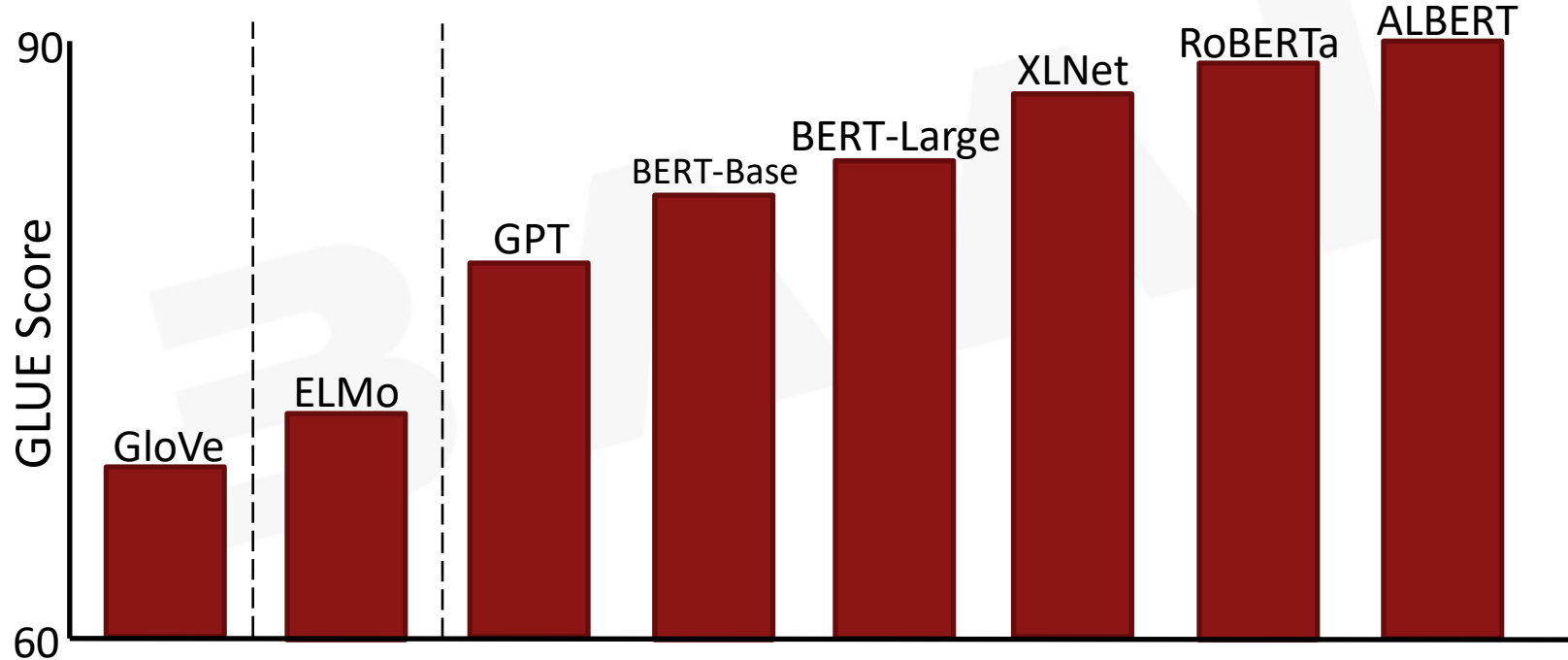
supervised  
fine-tuning



# GLUE tasks for Natural Language Understanding

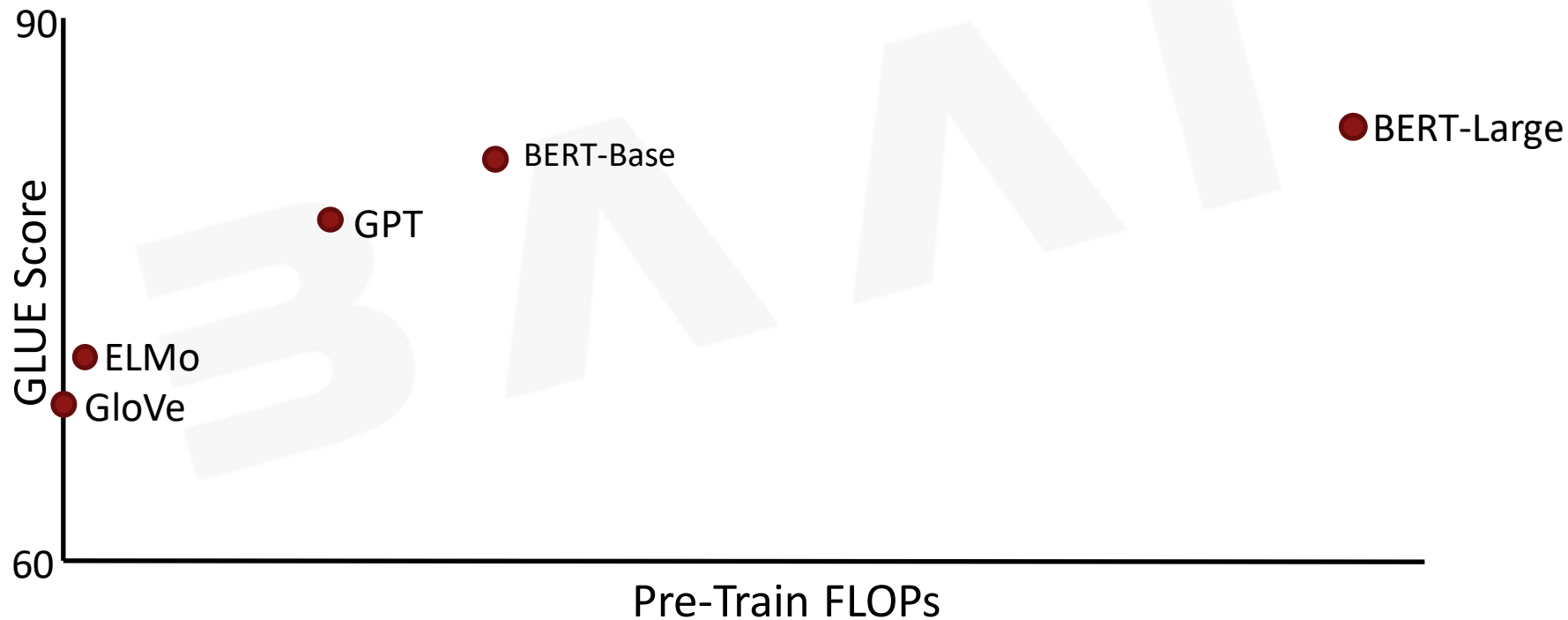
- GLUE benchmark (Wang et al. ICLR 2018) is dominated by natural language inference tasks, but also has sentence similarity, sentiment, linguistic acceptability, Winograd schema
- **MultiNLI**
- **Premise:** Hills and mountains are especially sanctified in Jainism.  
**Hypothesis:** Jainism hates nature.                      **Label:** Contradiction
- **CoLa**
- **Sentence:** The wagon rumbled down the road.                      **Label:** Acceptable
- **Sentence:** The car honked down the road.                      **Label:** Unacceptable

# Rapid Progress from Pre-Training



Over 3x reduction in error since 2017, “superhuman” performance

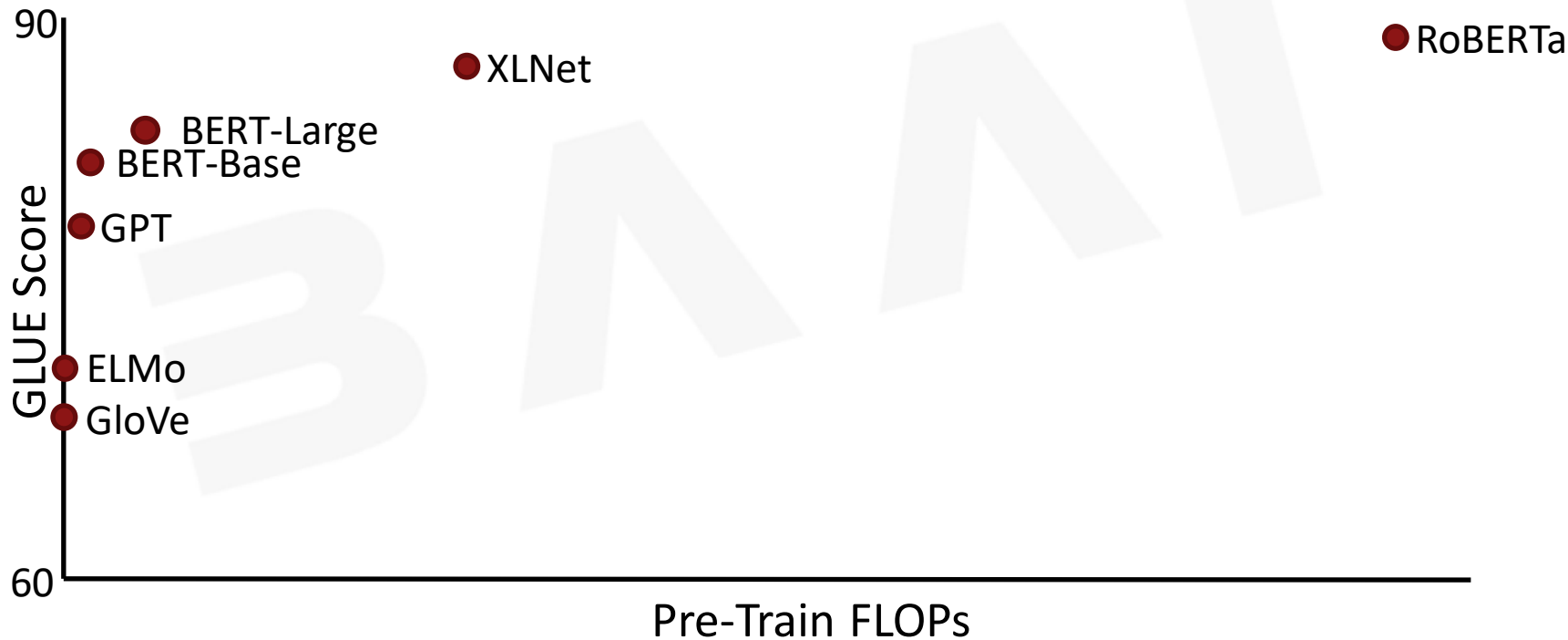
# But let's change the x-axis to compute ...



BERT-Large uses 60x more compute than ELMo



# But let's change the x-axis to compute ...



RoBERTa uses 16x more compute than BERT-Large

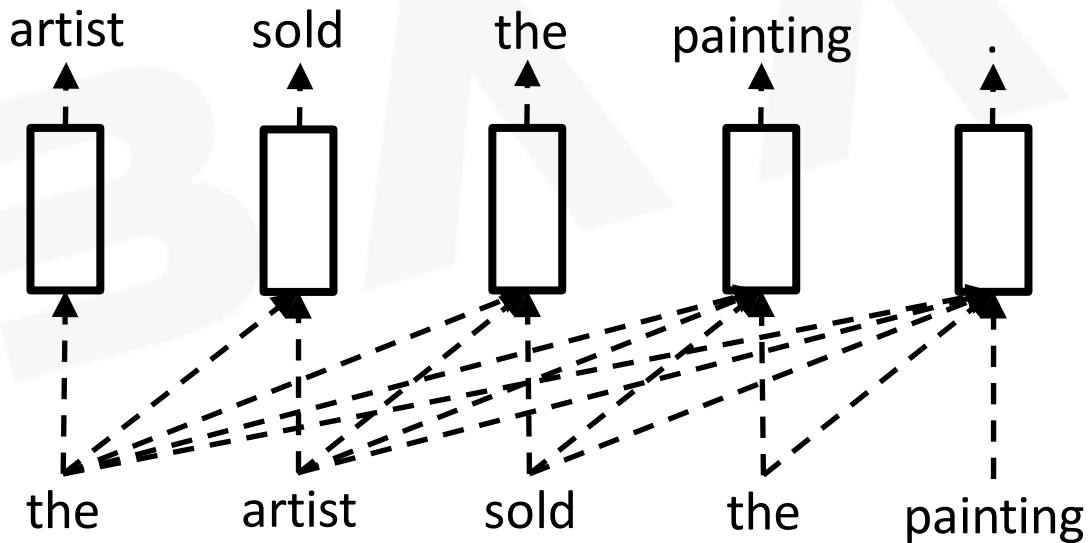
# More compute more better?



ALBERT uses 10x more compute than RoBERTa

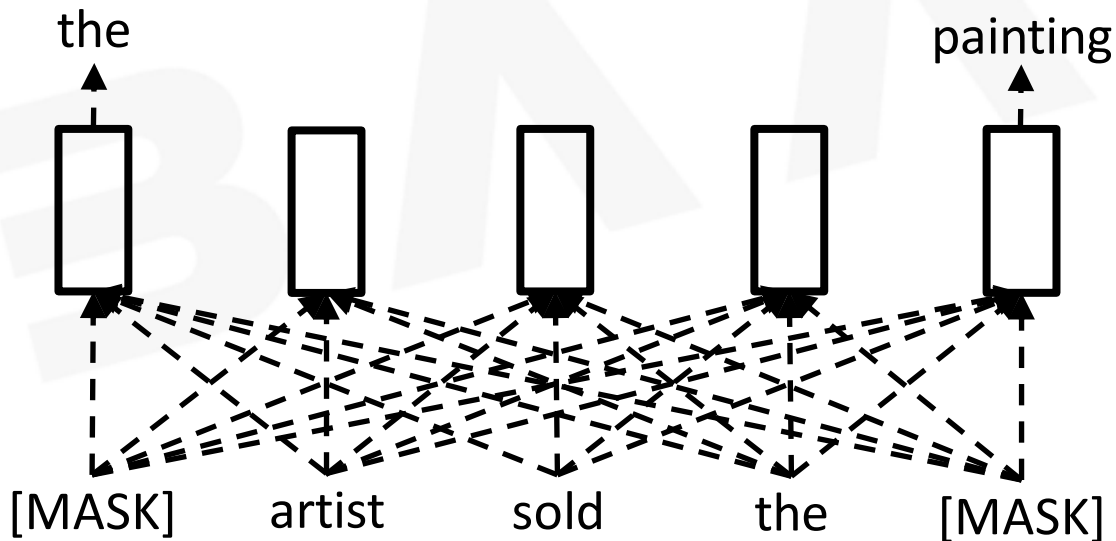
# Language Model Pretraining

- ULMFit, ELMo, GPT, ...



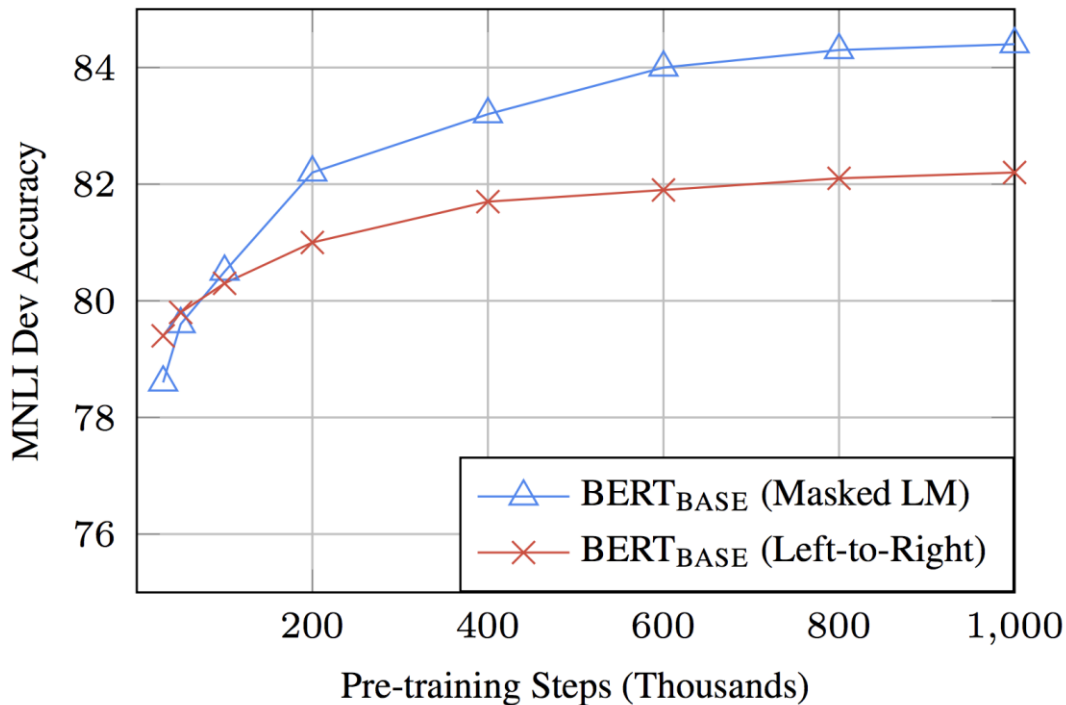
# Masked Language Model Pretraining

- BERT, XLNet, RoBERTa, ...



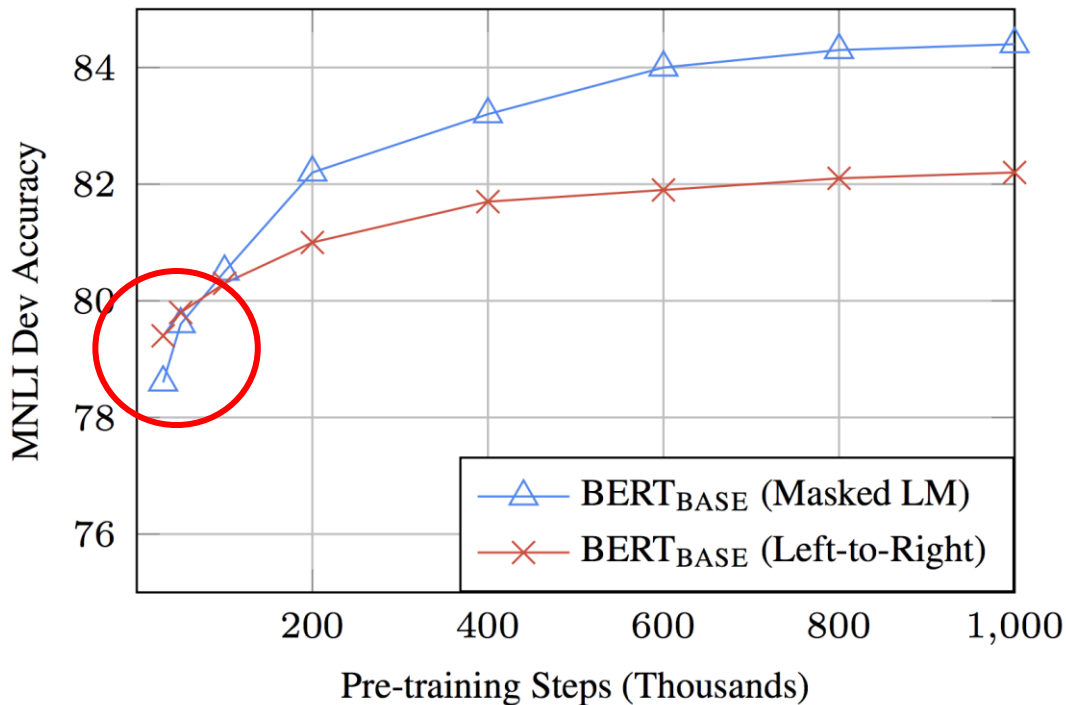
# Masked Language Model Pretraining

- Bidirectional gives better performance



# Masked Language Model Pretraining

- Bidirectional gives better performance
- But less efficient because only learn from 15% of tokens per example
- **Our method: best of both worlds**



# New Pre-Training Task: Replaced Token Detection

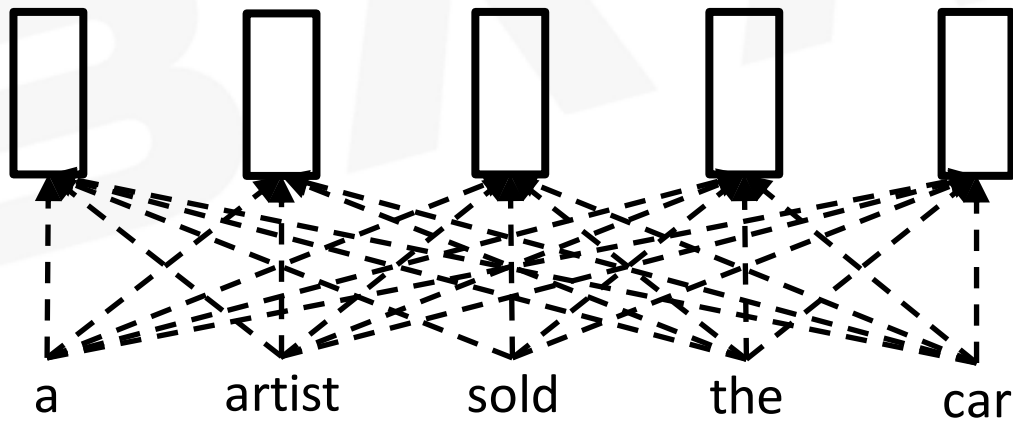
- Instead of [MASK], replace tokens with plausible alternatives

the artist sold the painting

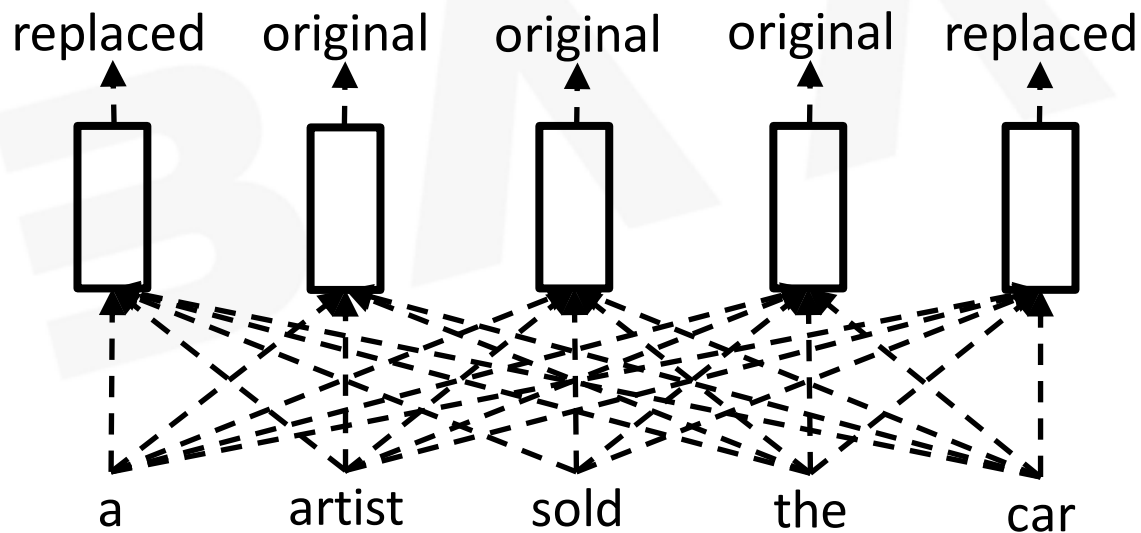




# New Pre-Training Task: Replaced Token Detection

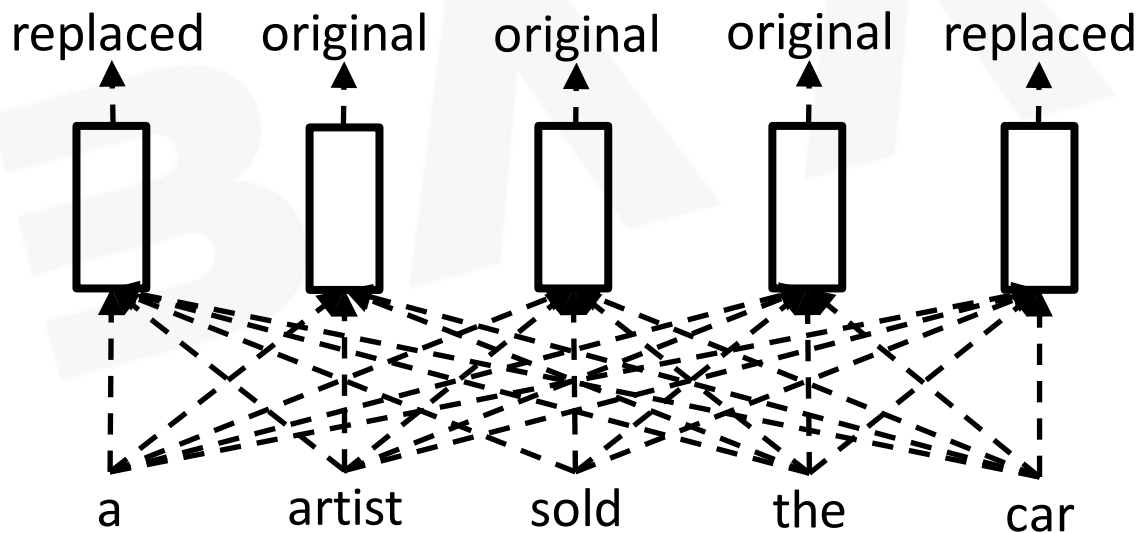


# New Pre-Training Task: Replaced Token Detection



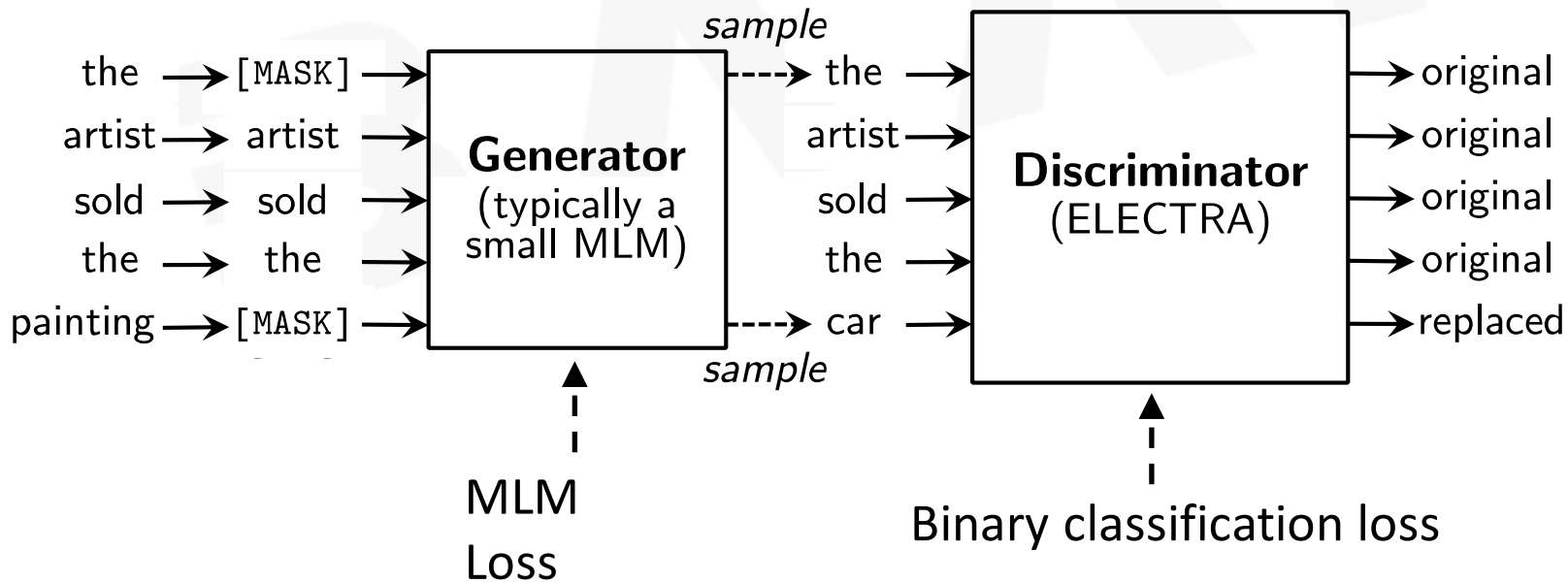
# ELECTRA: “Efficiently Learning an Encoder to Classify Token Replacements Accurately”

Bidirectional model but learn from all tokens

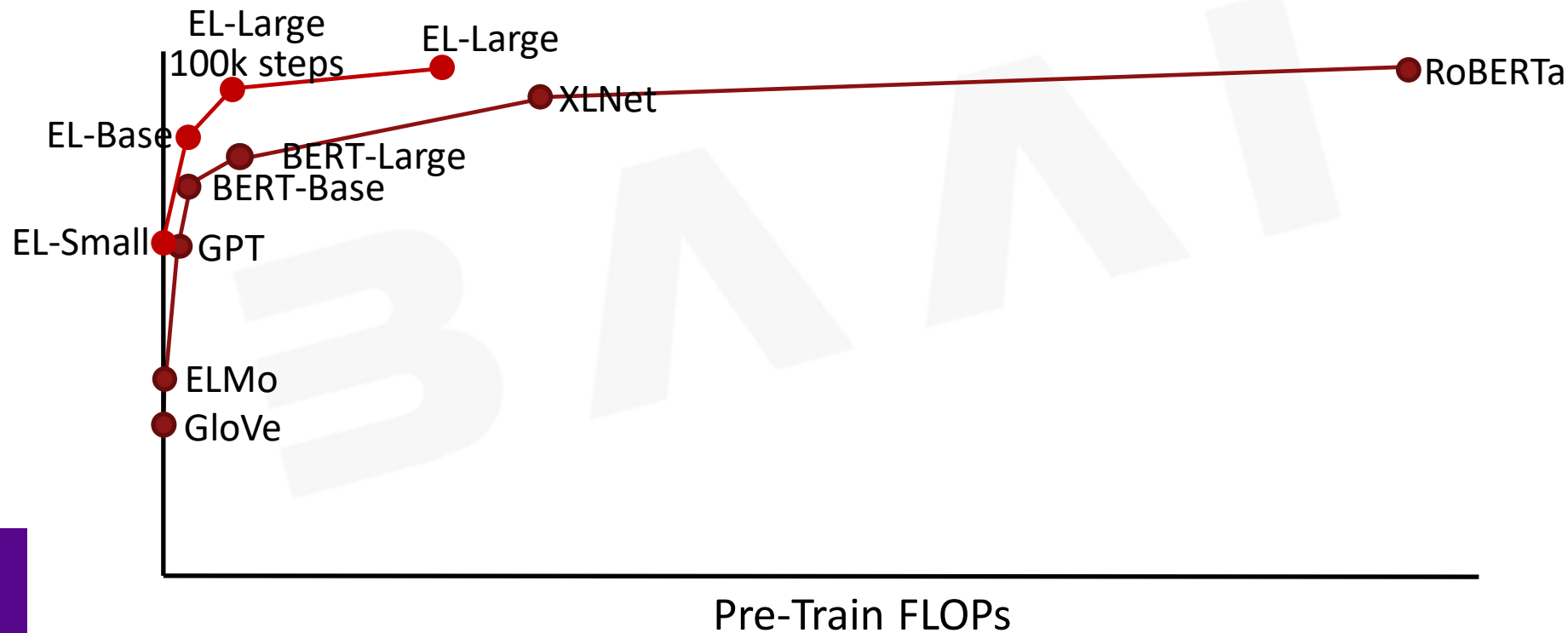


# Generating Replacements

Plausible alternatives come from small masked language model (the “generator”) trained jointly with ELECTRA



# Results: Glue Score vs Compute



# Results: ELECTRA-Small

- Smaller model (1/3 hidden size) trained less (1/4 steps) as BERT-Base. Trains in 4 days on 1 V100 GPU.

Model	Train/Infer Speedup over BERT-Base	GLUE Score
ELMo	19x / 1.2x	71.2
GPT	1.6x / 1x	78.8
DistilBERT	- / 2x	77.8
BERT-Small (ours)	45x / 8x	74.7
ELECTRA-Small	45x / 8x	79.0
BERT-Base	1x / 1x	82.2

# Results: ELECTRA-Large

- BERT-Large architecture, trained on XLNet data

Model	Train FLOPs	GLUE Score
BERT	0.3x	84.0
XLNet	1.3x	87.4
RoBERTa (100k steps)	0.9x	87.9
RoBERTa	4.5x	88.9
BERT-large (ours)	1x	87.2
ELECTRA	1x	89.0

# Electra

- Recent pre-training methods let models benefit from unprecedented compute scale
  - But our environment/energy use doesn't benefit!
  - It is important to be sensitive to compute when reporting results
- Replaced token detection is a more effective pre-training task than masked language modeling
  - Can provide good results on a single GPU in a few days
  - At larger scale, trains over 4x faster



# Final thoughts

- Self-supervised (or “unsupervised”) learning is very successful for doing natural language understanding tasks
  - More so than conventional multi-task learning
  - There hasn’t (yet) been similar success for self-supervised learning in vision
- Has annotating lots of linguistic data all been a mistake?
  - Maybe. Language model learning exploits a much rich task compared to the categories in typical annotations

# Final thoughts

- Is linguistic structure all a mistake?
  - No! Deep contextual word representations have phase-shifted from statistical association learners to **language discovery devices**!
  - Syntax emerges (approximately) in the geometry of BERT! See:
    - Kevin Clark, Urvashi Khandelwal, Omer Levy, & Christopher Manning. 2019. What Does BERT Look At? An Analysis of BERT's Attention. BlackBoxNLP.
    - John Hewitt and Christopher Manning. 2019. A Structural Probe for Finding Syntax in Word Representations. NAACL.
- Does going big stretch any analogy to child language acquisition?
  - Maybe, but it's more that acquisition without grounding is unrealistic

# Recent Breakthroughs in Natural Language Processing

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BAAI 2019

3A AI CONFERENCE

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